

ORIGINAL

OPEN MEETING AGENDA ITEM



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BEFORE THE ARIZONA CORPORATION COMMISSION

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Arizona Corporation Commission

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COMMISSIONERS

KRISTIN K. MAYES, Chairman
GARY PIERCE
PAUL NEWMAN
SANDRA D. KENNEDY
BOB STUMP

IN THE MATTER OF:

RBC CAPITAL MARKETS
CORPORATION
One Liberty Plaza
165 Broadway
New York, NY

RESPONDENT.

DOCKET NO. S-20668A-09-0281

PROCEDURAL ORDER
GRANTING ADMISSION
PRO HAC VICE

BY THE COMMISSION:

On July 6, 2009, pursuant to Arizona Supreme Court Rule 38(a), Laura M. Kam, an attorney with DLA Piper LLP (US) filed with the Arizona Corporation Commission ("Commission") a Motion to Appear Pro Hac Vice for Joshua R. Pater of Milbank, Tweed, Hadley & McCloy LLP in the above-captioned matter, on behalf of the Respondent, RBC Capital Markets Corporation. The Motion lists Ms. Kam as the designated member of the Arizona State Bar with whom communications may be made and upon whom papers should be served. Attached to the Motion is a copy of the Application for Appearance Pro Hac Vice filed with the State Bar of Arizona for Mr. Pater; a copy of his certificate of good standing from the jurisdiction in which he has been admitted to practice law; and a copy of the Notice of Receipt of Complete Application from the State Bar of Arizona.

On July 6, 2009, the Commission's Securities Division filed its Response to Motion and Consent of Local Counsel for Pro Hac Vice Admission of Joshua R. Pater, indicating that it has no objection to the admission of Mr. Pater as counsel *pro hac vice* in this matter.

In the discretion of the Commission, Joshua R. Pater should be permitted to appear and participate in the above-captioned matter on behalf of the Respondent, RBC Capital Markets Corporation.

1 IT IS THEREFORE ORDERED that Joshua R. Pater shall be admitted *pro hac vice* in the
2 above-captioned matter.

3 IT IS FURTHER ORDERED that the address for service of papers and other communications
4 for Mr. Pater shall be:

5 Joshua R. Pater
6 MILBANK, TWEED, HADLEY & McCLOY LLP
7 1 Chase Manhattan Place
8 New York, NY 10005

8 IT IS FURTHER ORDERED that the address for service of papers and other communications
9 for the Arizona-licensed attorney shall be:

10 Laura M. Kam
11 DLA PIPER LLP (US)
12 2525 East Camelback Road, Suite 1000
13 Phoenix, AZ 85016-4232

13 IT IS FURTHER ORDERED that withdrawal of representation must be made in compliance
14 with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the
15 Rules of the Arizona Supreme Court). Representation before the Commission includes the obligation
16 to appear at all hearings and procedural conferences, as well as all Open Meetings for which the
17 matter is scheduled for discussion, unless counsel has previously been granted permission to
18 withdraw by the Administrative Law Judge.

19 DATED this 7th day July, 2009.


20
21 
22 LYN FARMER
23 CHIEF ADMINISTRATIVE LAW JUDGE

24 Copies of the foregoing mailed/delivered
25 this 7th day of July, 2009 to:

26 Laura M. Kam
27 DLA PIPER LLP (US)
28 2525 East Camelback Road, Suite 1000
Phoenix, AZ 85016-4232

1 Joshua R. Pater
2 MILBANK, TWEED, HADLEY & McCLOY LLP
3 1 Chase Manhattan Place
4 New York, NY 10005

5 Matt Neubert, Director
6 Securities Division
7 ARIZONA CORPORATION COMMISSION
8 1300 W. Washington St
9 Phoenix, AZ 85007

10 By: 
11 Debra Broyles
12 Secretary to Lyn Farmer
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